



PATENT  
Customer No. 22,852  
Attorney Docket No. 6502.0111-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ann M. WOLLRATH et al.

Application No.: 08/636,706

Filed: April 23, 1996

For: System And Method For  
Facilitating Dynamic Loading Of  
"Stub" Information To Enable a  
Program Operating In One Address  
Space To Invoke Processing Of A  
Remote Method Or Procedure In  
Another Address Space

)  
)  
) Group Art Unit: 2126  
)  
) Examiner: Sue X. LAO  
)  
) Confirmation No.: 3311  
)

**RECEIVED**

**OCT 19 2004**

**Technology Center 2100**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action, Quayle Action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

10/15/2004 FFANREIA 00000023 08636706

01 FC:1806

180.00 0P

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Copies of the listed documents are attached.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any additional fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

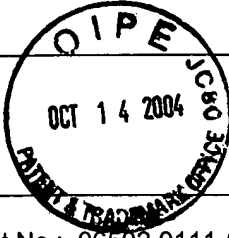
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 14, 2004

By: 

John M. Mulcahy  
Reg. No. 55,940



OMB No. 0651-0011

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Atty. Docket No.: 06502.0111-00000

Application No.: 08/636,706

**RECEIVED**

Applicant: Ann M. Wollrath et al.

OCT 19 2004

Filing Date: April 23, 1996

Group 2126

Technology Center 2100

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	US2003/01919842 A1	10/9/2003	Murphy et al.			
	US5,806,042	9/8/1998	Kelly et al.			
	US5,873,099	2/16/1999	Hogan et al.			
	US6,018,619	1/25/2000	Allard et al.			
	US6,052,724	4/18/2000	Willie et al.			
	US6,167,449	12/26/2000	Arnold et al.			
	US6,301,613 B1	10/9/2001	Ahlstrom et al.			
	US6,418,468 B1	7/9/2002	Ahlstrom et al.			

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Amitabh et al., "Proxies, Application Interfaces, and Distributed Systems," IEEE, pp. 212-220, 1992.
	Wollrath et al., "Java-Centric Distributed Computing," IEEE Micro, pp. 44-53, 1997.

Examiner

Date Considered:

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449

Patent and Trademark Office - U.S. Department of Commerce

JAB

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OCT 19 2004

Technology Center 2100

**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

In Re Application of: Ann M. WOLLRATH et al.

Serial No.: 08/636,706

Group Art Unit: 2755

Filed: April 23, 1996

Examiner: P. Caldwell

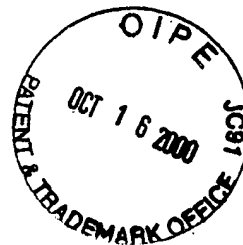
For: SYSTEM AND METHOD FOR FACILITATING DYNAMIC LOADING OF "STUB"  
INFORMATION TO ENABLE A PROGRAM OPERATING IN ONE ADDRESS SPACE  
TO INVOKE PROCESSING OF A REMOTE METHOD OR PROCEDURE IN  
ANOTHER ADDRESS SPACE

- 
1. Information Disclosure Statement, PTO Form 1449, 146 References
  2. Check No. 039150 in the amount of \$240.00

Dated: October 16, 2000

Docket No.: 6502.0299-00

JAB/SBC:lad - Mail Drop 852



(Due Date: None)

OCT 16 2000

470  
10/17/00  
A.P.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
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Ann M. WOLLRATH et al. )  
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Serial No.: 08/636,706 ) Group Art Unit: 2755  
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Filed: April 23, 1996 ) Examiner: P. Caldwell  
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REMOTE METHOD OR )  
PROCEDURE IN ANOTHER )  
ADDRESS SPACE )

**RECEIVED**  
OCT 19 2004  
Technology Center 2100

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$240.00 as specified by Section 1.17(p)].

Copies of the listed documents are attached.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

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If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 16, 2000

By: Walter A. Berkowitz Reg. No. 24,914  
for Jeffrey A. Berkowitz  
Reg. No. 36,743

JAB/ENIA

**RECEIVED**

OCT 19 2004

Technology Center 2100

**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

In Re Application of: **Ann M. WOLLRATH et al.**

Serial No.: **08/636,706**

Group Art Unit: **2126**

Filed: **April 23, 1996**

Examiner: **Sue X. LAO**

For: **SYSTEM AND METHOD FOR FACILITATING DYNAMIC LOADING OF  
"STUB" INFORMATION TO ENABLE A PROGRAM OPERATING IN ONE  
ADDRESS SPACE TO INVOKE PROCESSING OF A REMOTE METHOD  
OR PROCEDURE IN ANOTHER ADDRESS SPACE**

1. Information Disclosure Statement Under 37 C.F.R. § 1.97(c)
2. PTO-1449 with attached 10 references
3. Check -- \$180.00

Dated: April 4, 2003

Docket No.: 6502.0111-00

Attorney: JABerkowitz/EHArner/965B - RES



*[Handwritten signature]*

Due Date: N/A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 )  
Ann M. WOLLRATH et al. ) Group Art Unit: 2126  
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Application No.: 08/636,706 ) Examiner: Sue X. LAO  
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Filed: April 23, 1996 )  
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Assistant Commissioner for Patents  
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Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
www.finnegan.com



Copies of the listed documents are attached.

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Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: April 4, 2003

By: 

Jeffrey A. Berkowitz  
Reg. No. 36,743

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

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Washington, DC 20005  
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[www.finnegan.com](http://www.finnegan.com)

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OCT 19 2004  
Technology Center 2100

JAB/EHA

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Ann M. WOLLRATH et al.

Serial No.: 08/636,706

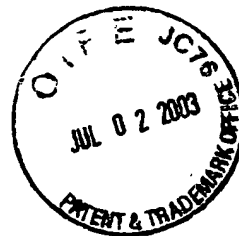
Group Art Unit: 2126

Filed: April 23, 1996

Examiner: Sue X. LAO

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OR PROCEDURE IN ANOTHER ADDRESS SPACE

1. Information Disclosure Statement Under 37 C.F.R. § 1.97(c) (2 pages)
2. PTO-1449 with attached 2 references
3. Check -- \$180.00



Dated: July 2, 2003

Docket No.: 6502.0111-00

Due Date: N/A

Attorney: JABerkowitz/EHArner/965B - RES

DATE 11/13  
07-03-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
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Application No.: 08/636,706 ) Examiner: Sue X. LAO  
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Filed: April 23, 1996 )  
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Commissioner for Patents  
P. O. Box 1450  
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Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Copies of the listed documents are attached.

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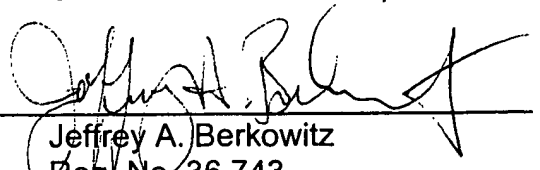
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Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: July 2, 2003

By:

  
Jeffrey A. Berkowitz  
Reg. No. 36,743

FINNEGAN  
HENDERSON  
FARABOW  
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OCT 19 2004

Technology Center 2100

JAB/EHA

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Ann M. WOLLRATH et al.

Serial No.: 08/636,706

Group Art Unit: 2126

Filed: April 23, 1996

Examiner: Sue X. LAO

For: SYSTEM AND METHOD FOR FACILITATING DYNAMIC LOADING OF  
"STUB" INFORMATION TO ENABLE A PROGRAM OPERATING IN ONE  
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1. Information Disclosure Statement Under 37 C.F.R. § 1.97(c) (2 pages)
2. PTO-1449 with attached 15 references
3. Check -- \$180.00

Dated: September 17, 2003

Docket No.: 6502.0111-00

JAB/EHA/maw (965B - RES)



Due Date: N/A

DAA  
BAS  
9/18/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Ann M. WOLLRATH et al. ) Group Art Unit: 2126  
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Application No.: 08/636,706 ) Examiner: Sue X. LAO  
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Filed: April 23, 1996 )  
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Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

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Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: September 17, 2003

By: 

Jeffrey A. Berkowitz  
Reg. No. 36,743

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Technology Center 2100

JAB/EHA

**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

In Re Application of: Ann M. WOLLRATH et al.

Serial No.: 08/636,706

Group Art Unit: 2755

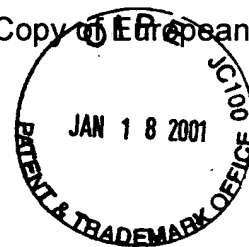
Filed: April 23, 1996

Examiner: P. Caldwell

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ANOTHER ADDRESS SPACE

JAN 19 2001

1. Supplemental Information Disclosure Statement, PTO Form 1449, Copy of European Search Report, and 8 References
2. Check No. 042738 in the amount of \$180.00



Dated: January 18, 2001

Docket No.: 6502.0299-00

JAB/SBC:lad - Mail Drop 852

(Due Date: None)

1/15/01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
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Ann M. WOLLRATH et al. )  
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Serial No.: 08/636,706 ) Group Art Unit: 2755  
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Assistant Commissioner for Patents  
Washington, DC 20231

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

This Information Disclosure Statement supplements the one previously filed on October 16, 2000. Some of the documents listed in this Information Disclosure

LAW OFFICES

INNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, DC 20005  
202-408-4000

Statement were first cited in a communication from the European Patent Office in a counterpart foreign application and some of the documents were cited during the prosecution of corresponding U.S. applications.

Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

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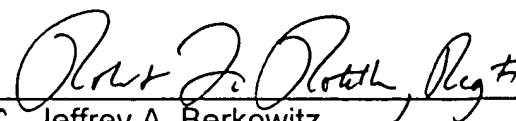
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Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: January 18, 2001

By:

*for*  Reg #24,014  
Jeffrey A. Berkowitz  
Reg. No. 36,743

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N.W.  
WASHINGTON, DC 20005  
202-406-4000

**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

In Re Application of: Ann M. WOLLRATH et al.

Application No.: 08/636,706

Group Art Unit: 2151

Filed: April 23, 1996

Examiner: Sue X. Lao

For: SYSTEM AND METHOD FOR FACILITATING DYNAMIC LOADING  
OF "STUB" INFORMATION TO ENABLE A PROGRAM OPERATING  
IN ONE ADDRESS SPACE TO INVOKE PROCESSING OF A REMOTE  
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- 
1. Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.97(c)
  2. Information Disclosure Citation (Form PTO-1449) -- 6 pages
  3. 90 documents

Dated October 29, 2002

Docket No.: 6502.0299-00

JEP/Judy Long, MD 862

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OCT 19 2004

Technology Center 2100

(☐ Due Date: N/A)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 )  
Ann M. WOLLRATH et al. ) Group Art Unit: 2151  
 )  
Application No.: 08/636,706 ) Examiner: Lao, S.  
 )  
Filed: April 23, 1996 )  
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For: System And Method For )  
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FINNEGAN  
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FARABOW  
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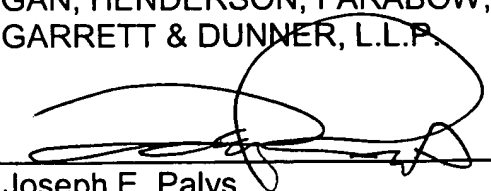
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If there is any additional fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 29, 2002

By:   
Joseph E. Palys  
Reg. No. 46,508

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OCT 19 2004  
Technology Center 2100

6502.0299

JH3/EHA

**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

In Re Application of: Ann M. WOLLRATH et al.

Serial No.: 08/636,706

Group Art Unit: 2151

Filed: April 23, 1996

Examiner: Sue X. LAO

For: System And Method For Facilitating Dynamic Loading Of "Stub" Information To Enable  
A Program Operating In One Address Space To Invoke Processing Of A Remote  
Method Or Procedure In Another Address Space

- 
1. Information Disclosure Statement Under 37 C.F.R. § 1.97(c) (2 pages)
  2. PTO Form 1449 (3 pages), 1 box with 41 references
  3. Check - \$180.00 (fee)

Dated June 21, 2002

Docket No.: 06502.0299-00000

Jeffrey A. Berkowitz/EHA



**Please Return to Lois Ford - Mail Drop 1035**

0115 62402 13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Ann M. WOLLRATH et al. ) Group Art Unit: 2151  
)  
Application No.: 08/636,706 ) Examiner: Sue X. LAO  
)  
Filed: April 23, 1996 )  
)  
For: System And Method For )  
Facilitating Dynamic Loading Of )  
"Stub" Information To Enable a )  
Program Operating In One Address )  
Space To Invoke Processing Of A )  
Remote Method Or Procedure In )  
Another Address Space )

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action, Quayle Action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified under § 1.17(p).

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Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Copies of the listed documents are attached.

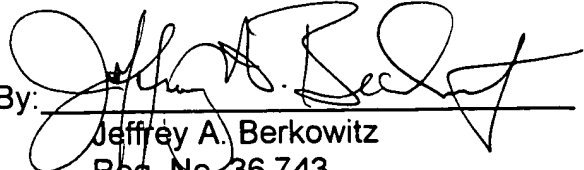
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any additional fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:   
Jeffrey A. Berkowitz  
Reg. No. 36,743

Dated: June 24, 2002

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JAB-EHA

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Technology Center 2100

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In re Application of: Ann M. WOLLRATH et al.

Serial No. : 09/636,706

Group Art Unit: 2151

Filed: April 23, 1996

Examiner: Sue X. LAO

Title: SYSTEM AND METHOD FOR FACILITATING DYNAMIC  
LOADING OF "STUB" INFORMATION TO ENABLE A  
PROGRAM OPERATING IN ONE ADDRESS SPACE TO  
INVOKE PROCESSING OF A REMOTE METHOD OR  
PROCEDURE IN ANOTHER ADDRESS SPACE



- 
1. Continued Prosecution Application Transmittal ( 4 pages)
  2. Copy of prior specification (27 pages, including Appendix A-B,  
drawings 6 sheets (Figs. 1 - 3a)
  3. Information Disclosure Statement Under 37 C.F.R. § 1.97(b)(2 pages)
  4. PTO 1449 (7 pages) including 119 references (1 box)
  5. Check - \$1208.00 (\$740.00 filing fee; \$468.00 claims)

Date: December 20, 2001

CASE REF.: 06502.0299-00000

JAB:EHA:laf

PLEASE RETURN TO L. FORD - MD: 1035

12-25-01

DK 120  
12-21-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Ann M. WOLLRATH et al. )  
Serial No. 08/636,706 ) Group Art Unit: 2151  
Filed: April 23, 1996 ) Examiner: Sue X. LAO  
For: SYSTEM AND METHOD FOR )  
FACILITATING DYNAMIC )  
LOADING OF "STUB" )  
INFORMATION TO ENABLE )  
A PROGRAM OPERATING IN )  
ONE ADDRESS SPACE TO )  
INVOKE PROCESSING OF A )  
REMOTE METHOD OR )  
PROCEDURE IN ANOTHER )  
ADDRESS SPACE )

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed with a Request for Continued Examination, as permitted under 37 C.F.R. § 1.14(c). Applicants respectfully petition and request that the Examiner consider the listed documents and indicate they they were considered by making appropriate notations on the attached form.

Copies of the listed documents are attached.

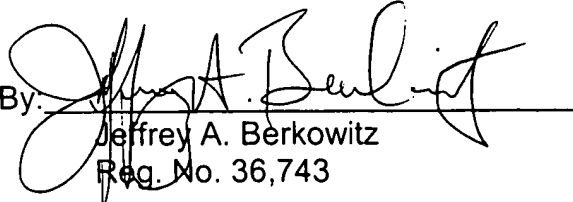
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

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If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:   
Jeffrey A. Berkowitz  
Reg. No. 36,743

Date: December 19, 2001

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